

NEIGHBORHOOD DEVELOPMENT FUND

Not-for-Profit Request

DATE: 7-11-06

TO: Appropriations Committee

FROM: Council Member Bob Henderson

RE: Request for Neighborhood Development Fund to be considered by the Appropriations Committee.

I have reviewed the attached Proposal in the amount of \$ 4000.- through the NDF for School Supplies SCPEF and have found it complete and within our guidelines. I/We have read the organization's statement of public purpose to be furthered by the funds requested and I/We agree that the public purpose is legitimate. I/We have also completed the disclosure section below.

Please add this Grant Proposal Agreement to the agenda of the next Appropriations Committee Meeting.

Bob Henderson
Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

Signature of Council Member

DISCLOSURE

List below any relation you have with the organization requesting the grant (your, your family, your legislative assistant or any city employee to this organization and to any member of the organization's board of directors or their employees.)

Approved by:

Appropriations Committee Chairman

Date

OFFICE OF METRO COUNCIL CLERK

RECEIVED

DATE 7/11/06 TIME: 12:09pm

LOUISVILLE METRO COUNCIL
APPLICATION FORM FOR
NEIGHBORHOOD DEVELOPMENT FUNDS
(2006-2007)

Proposed Activity/Need: School Supplies for District 14 Schools

Name of Applicant Agency: Jeff Co Public Ed Foundation

AMOUNT OF FUNDING REQUESTED \$4,000⁰⁰

I. Contact Person responsible for the Activity described in this proposal:

A. Name Linda Johnson

B. Title Director of Development

C. Phone # (502) 485-6636 Fax # (502) 485-8986

D. E-mail Linda.Johnson@jefferson.kyschools.us

2. If funded, this activity will further which of the major goals of Louisville Metro listed below.

☐ Bringing Us Together

☐ Keeping Us Safe

☒ Promoting Education and Growing Jobs

☐ Enhancing Neighborhoods and Protecting Our "Louisville" Quality of Life

3. If funded, this activity will strengthen (check one):

- ☒ Youth (teenagers, ages 13-19)
☒ Human Services (Citizens with barriers to meeting basic human needs)
☐ Arts/cultural
☐ Neighborhoods
☐ Business Associations
☐ Parks
☐ Community Activities and Events

☒ Other: if you do not believe your proposal fits any of the above, please describe the nature of your request:

Children - Elementary.

4. If approved, Louisville Metro Funds will be used for (check one)

- ☒ Operating Funds (cannot exceed 33% of agency's total budget)
☐ Programming/services/events for direct benefit to community or qualified individuals
☐ Capital equipment (small operating equipment which may be used to benefit the individuals or community being served. (No building or renovations)

5. **PROPOSAL DESCRIPTION:** Describe how you are going to further one of the four major goals of Louisville Metro Government by this proposal. (See #2)

The purpose of purchasing School Supplies for Children in need will promote education for the children served.

6. Describe the activity being proposed to address the goal.

The Family Resource Centers at Valley High, Dixie Elem, Frost Middle, Watson Lane Elem, Sanders Elem, Johnson Town Elem, Wilkerson Elem & Eisen Tower Elem will purchase School Supplies for Children in need.

7. Describe how the funding is to be used. BE SPECIFIC.

The above coordinators will identify children who do not have School Supplies and purchase what is needed. Each School will receive \$500.00.

8. Describe the results/goals for this proposal. How will you know it is successful?

Children who start off with the proper School Supplies will be able to be on task and thus remove barriers to Education.

EXPECTATIONS/REQUIREMENTS INCLUDE BUT ARE NOT LIMITED TO:

- a. Participate in post-award training.
- b. Make all program and financial records available to any monitors from Louisville Metro to assure compliance with the approved funding.
- c. Failure to provide the services, programs or projects included in the agreement will result in funds being withheld, or in requirement for reimbursing Louisville Metro.
- d. Return to Louisville Metro of any unexpended funds by July 31, 2007.
- e. Documentation of all expenditures (canceled checks, receipts, paid invoices)

COMPLETE PAGE 3 -BUDGET SUMMARY STATEMENT FOR THIS PROJECT.

STAFF ONLY:

_____ Description of Applicant Agency/Organization Complete

_____ All documentation is attached: 501(c)3 status, Articles of Incorporation, Secretary of State status, EIN (Employer Identification Number)

PROJECT/PROGRAM BUDGET SUMMARY STATEMENT

AGENCY NAME:

Project/Program Name:

This Project/Program Proposal is # 1 of 1



REVENUES ANTICIPATED	2006-2007	%
	Round to the nearest \$100	of Total Revenue
Louisville Metro Government Requested of Metro Agency: Metro Council	\$ 4000 ⁰⁰	100%
State of Kentucky		
Federal Government (Including Federal Pass-thru to State)		
United Way		
Fees for Services		
Private Contributions		
Interest Income		
Other Sources (Please specify)		
TOTAL REVENUES	\$ 4,000⁰⁰	100%

OPERATING EXPENSES		
Personnel (including all fringes)		
Operating (Contractual and Supplies)	4,000 ⁰⁰	100%
Capital Equipment (Small Operating Equipment)		
TOTAL EXPENDITURES	\$ 4,000⁰⁰	100%

Value of in-kind assets, such as donated space, supplies, use of equipment, etc.	\$
Value of volunteer services and how computed:	\$



SECTION ONE:
DESCRIPTION OF APPLICANT AGENCY/ORGANIZATION

IDENTIFYING INFORMATION

- I. **Official** Name of Agency/Organization (Agency) as listed with the Kentucky Secretary of State:
____ Jefferson County Public Education Foundation _____
- II. Organization number as listed with the Kentucky Secretary of State: 61-1021128
- III. List any "working" or "does business as" names for organization:
- NA _____
- IV. Address of main office: (street and zip + 4)
Jaeger Education Center, 502 Wood Road, Suite 201, Louisville, KY 40222 _____
- V. P. O. / mailing address if different: _____ (zip + 4) _____
- VI. Phone # (502) 485-6636 Fax# (502) 485-8986
- VII. E-Mail Linda.Johnson@Jefferson.kvschools.us
- VIII. **Agency's Legal Signatory/Title**
Name Orson Oliver Linda Johnson
Title Chairman Director of Development
- IX. **Contact person responsible for application:**
A. Name: Linda Johnson
B. Phone # (502) 485-6636 Fax# (502) 485-8986
C. E-Mail Linda.Johnson@Jefferson.kvschools.us

DESCRIPTION OF AGENCY

- I. **Describe your Agency's vision, mission and services:**

The Jefferson County Public Education Foundation was established in July 1983 to support priority initiatives and secure resources for the Jefferson County Public Schools.

Current Projects include Every 1 Reads (Initiative to ensure every student reads at grade level by2008), Starfish (a water safety program designed to give every child the chance to learn to swim), Basics for Kids Campaign (Clothing and basic school supplies for disadvantaged students), ExCEL awards (For outstanding teachers), and as a conduit for these other programs: AEGON awards, C.O.L.L.E.G.E. Bound, Scholarships/Memorials, and others.

- II. Total number of Board members 13
- III. Number of Board meetings held to date in current fiscal year 2
- IV. Average attendance at Board meetings 10

FACILITIES

- I. List location(s) and terms (owned, rented, leased, or donated).
- A. NA
- B. _____
- C. _____
- D. _____
- II. Are all facilities handicapped accessible? Yes ☒ No ☐
- III. If no, please explain:
- _____
- _____
- _____
- _____

FINANCIAL INFORMATION

- I. Agency's fiscal year from (month) July 1 to (month) June 30
- II. Does your Agency anticipate a significant increase or decrease in your budget from the current fiscal year to the budget projected for next fiscal year? No ☐ Yes ☒
- III. If yes, please explain. Every 1 Reads Capital Campaign
- _____
- _____
- _____
- _____
- IV. For the **current fiscal year**, list funds received from Louisville Metro Government, including funds from any department, office, etc. in either the former City of Louisville or Jefferson County.

See Appendix for current list

\$ — 0 — Source:

— 0 —

- V. Provide one copy only of each of the following, as appropriate (4 points):
- A. Articles of Incorporation.
 - B. Approved budget or executive summary for your Agency's current fiscal year.
 - C. Proof of IRS 501(C) (3) status, or application for this IRS status, if applicable.
 - D. Staffing structure for entire Agency, including organizational chart.
 - E. Board member list; specify chair, vice-chair, secretary, and treasurer.
 - F. If your Agency is an employer required to have a written Affirmative Action/Equal employment Opportunity policy: copy of policy.
 - G. If rent/occupancy costs are being requested: copy of the signed lease.
 - H. If program participants have the opportunity to evaluate the services received: one copy each of any forms used.

- VI. List below any relationship any members of your Board of Directors or employees have with any Metro Council Member, Council Member's family, Council Member's staff, or any Louisville Metro Government employee.

NA – JCPEF does not engage in lobbying activities

- VII. I certify under the penalty of law that the information in this application is accurate to the best of my knowledge. I am aware that my Agency will not be eligible for funding if investigation at any time shows falsification. If falsification is shown after funding has been approved, any allocations already received and expended are subject to be repaid. I further certify that I am authorized to sign this application for the Agency.

Name of Legal Signatory: (type or print)

Linda Johnson Linda Johnson

Title:

Director of Development

Signature

Linda Johnson

Date

7, 10, 06

Internal Revenue Service
District Director

Department of the Treasury

Date:

JUL 19 1983

Employer Identification Number:
61-1021128

Accounting Period Ending:
June 30

Form 990 Required: ☒ Yes ☐ No

Jefferson County Public Education
Foundation, Inc.
416 West Jefferson
Louisville, KY 40202

Person to Contact:
Marilyn Miller
Contact Telephone Number:
(513) 684-3578

Dear Applicant:

Based on information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code.

We have further determined that you are not a private foundation within the meaning of section 509(a) of the Code, because you are an organization described in section 509(a)(3).

If your sources of support, or your purposes, character, or method of operation change, please let us know so we can consider the effect of the change on your exempt status and foundation status. Also, you should inform us of all changes in your name or address.

Generally, you are not liable for social security (FICA) taxes unless you file a waiver of exemption certificate as provided in the Federal Insurance Contributions Act. If you have paid FICA taxes without filing the waiver, you should contact us. You are not liable for the tax imposed under the Federal Unemployment Tax Act (FUTA).

Since you are not a private foundation, you are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions about excise, employment, or other Federal taxes, please let us know.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

The box checked in the heading of this letter shows whether you must file Form 990, Return of Organization Exempt from Income tax. If Yes is checked, you are required to file Form 990 only if your gross receipts each year are normally more than \$10,000. If a return is required, it must be filed by the 15th day of the fifth month after the end of your annual accounting period. The law imposes a penalty of \$10 a day, up to a maximum of \$5,000, when a return is filed late, unless there is reasonable cause for the delay.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T. In this letter, we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

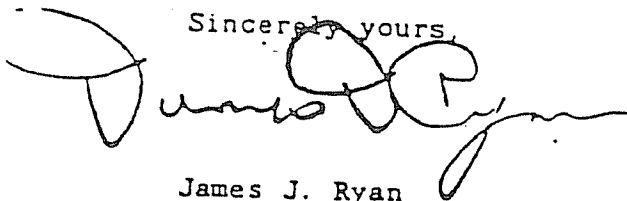
You need an employer identification number even if you have no employees.

If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

Because this letter could help resolve any questions about your exempt status and foundation status, you should keep it in your permanent records.

If you have any questions, please contact the person whose name and telephone number are shown in the heading of this letter.

Sincerely yours,



James J. Ryan
District Director

This supersedes our letter of July 1, 1983 in which we classified your non-private foundation status under section 509(a)(1) and 170 (b)(1)(A)(vi).

For tax years ending on or after December 31, 1982, you are required to file Form 990 only if your gross receipts each year are normally more than \$25,000, instead of \$10,000 as indicated above.

Beginning January 1, 1984, unless specifically excepted, you must pay taxes under the Federal Insurance Contributions Act (social security taxes) for each employee who is paid \$100 or more in a calendar year.

cc: G. Alexander Hamilton
Wyatt, Tarrant & Combs
Citizens Plaza
Louisville, KY 40202

7/10/2006

Current Board of Directors Jefferson County Public Education Foundation

SAM CORBETT, CHAIRMAN
SAM MEYERS
3400 BASHFORD AVENUE COURT
LOUISVILLE KY 40218
459-4885 Work/473-1129 Fax
sctuxedo@AOL.com

JAMES R. ALLEN, VICE CHAIRMAN
HILLIARD LYONS
501 SOUTH FOURTH AVENUE
LOUISVILLE KY 40202
588-8604/585-8901 Fax
JAllen@hilliard.com

JOE SEILER, SEC/TREASURER
NATIONAL CITY BANK 31T09B
101 S. 5TH STREET 9TH FLOOR
LOUISVILLE KY 40202
581-4331 Work/581-5160 Fax
joe.seiler@nationalcity.com

CLAIRE ALAGIA
BITTNER
731 E. MAIN STREET
LOUISVILLE KY 40202
584-6349 Work
Claire@bittners.com

MIKE BROWN
PEPSI-COLA GENERAL BOTTLERS
PO BOX 37150
LOUISVILLE KY 40233
368-2581/Ex 228
mike.brown@pepsiamericas.com

KEVIN HABLE
WYATT TARRANT & COMBS
500 W. JEFFERSON STREET
LOUISVILLE KY 40202
589-5235/589-0309 Fax
khable@wyattfirm.com

AUDWIN HELTON
SPATIAL DATA INTEGRATIONS INC
710 WEST MAIN STREET SUITE 108
LOUISVILLE KY 40202
568-2591 Work/568-6929 Fax
ahelton@sdimaps.com

HENRY HEUSER, JR.
UNISTAR/VOGT
222 S. FIRST STREET
LOUISVILLE KY 40202
635-3229 Work/Fax 635-3022
/Cell 553-2270
hheuser@unistarllc.com

STEVE LANGFORD
WAVE TV3
PO BOX 32970
LOUISVILLE KY 40232
561-4139 Work/548-5934 Cell
slangford@wave3tv.com

ORSON OLIVER
325 W. MAIN ST. #1810
LOUISVILLE KY 40202
553-1464(cell)/584-7062 & 584-5984
ooliver@schneidercompanies.com
dbondehagen@schneidercompanies.com
Home: 141 N. 4th Street, Apt. 643
Louisville, KY 40202

JOAN RIEHM
DEPUTY MAYOR
527 W. JEFFERSON ST. 4TH FLOOR
LOUISVILLE KY 40202
574-8141/574-5354 Fax
Joan.Riehm@loukymetro.org

PAUL THOMPSON
E.ON U.S. LLC
220 W. MAIN STREET
LOUISVILLE KY 40202
627-3861/627-2995 Fax
Paul.thompson@eon-us.com

MATT THORNTON
THORNTON OIL CORP.
10101 LINN STATION ROAD SUITE 200
LOUISVILLE KY 40223
425-8022 Work/426-4322 Fax
matt@thorntonoil.com

7/10/2006

MALCOLM B. CHANCEY, JR.,
EMERITUS
703 DANSHALL DRIVE
LOUISVILLE, KY 40206
mchanceyjr@aol.com

Dr. Stephen Daeschner
Superintendent
Jefferson County Public Schools
3332 Van Hoose Education Center
Louisville, KY 40218

Marty Bell
Community Relations
Jefferson County Public Schools
3332 Van Hoose Education Center
Louisville, KY 40218